



WISDOT

2015 SPRING EDITION

# DBE REPORTER

A NEWSLETTER FROM THE WISCONSIN DEPARTMENT OF TRANSPORTATION  
OFFICE OF BUSINESS OPPORTUNITY AND EQUITY COMPLIANCE

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WisDOT DBE Support Services Office  
6150 W. Fond du Lac Ave., Milwaukee, Wisconsin

## WISCONSIN DEPARTMENT OF TRANSPORTATION (WISDOT) DBE PROGRAM NEW RULES

The DBE program is a federal program that is implemented within each state by agencies that receive federal funds; program rules and guidance are detailed in the [Code of Federal Regulations \[CFR\] at 49 CFR Part 26](#). Additionally, the spring 2015 issue of the American DBE Magazine outlines the revisions in an article entitled, "[New Blessings & Old Curses: USDOT Issues Long-Awaited DBE Program Final Rule with Mixed Results.](#)"

In November 2014, US Department of Transportation revised its DBE program rules to strengthen uniformity across states, increase accountability to USDOT and reduce undue administrative burdens to certified DBE firms.

As WisDOT implements these revised DBE program rules and improves efficiency, currently certified DBE firms should be aware of the following changes:

### Annual Affidavit & Submission of Business Taxes

Annual No Change Affidavits have been a DBE program requirement since the program began in 1985; however, the due dates have varied causing confusion for the DBE and the certifying agency. The new DBE program rules require each DBE certified firm to submit their signed Annual Affidavit by the **anniversary date** of their DBE certification.

- WisDOT DBE firms no longer need to interact with our automated CRCS emails for certification. Please disregard automated emails from CRCS that have 'NO REPLY' in the FROM line. All annual affidavit correspondence will be clearly marked and from Vincent Fisher.

## DBE PROGRAM NEW RULES (CONTINUED)

Signed affidavits must be accompanied by the firm's federal business taxes in order for WisDOT to determine continued eligibility for DBE certification. WisDOT is initiating a uniform tax form submission timeline that will require current tax forms to be submitted no later than October 1st annually. Failure to respond by the new date may lead to suspension.

Look for a revised **Annual No Change Affidavit** form that allows the signatory(s) to attest that each aspect of the business remains unchanged.

### Requirement for 30-Day Notice of Changes to Business

DBE firms have 30 days to notify the DBE office, in writing, of any change (s) to their business. Certification staff will file the change(s) and evaluate whether further action is required.

- Changes such as your contact information, phone numbers and email addresses should be recorded in your certification file for accuracy and accountability.
- Changes that affect the firm's ability to meet size, disadvantaged status, ownership or control requirements will require supporting documentation to determine its impact.

WisDOT will be posting a Change-to-Business form on our website to make it easier to report changes.

### Interstate Certification - Getting DBE certified in another state

Currently certified DBE firms who want to get DBE certified in another state no longer are required to submit a new application packet to get certified outside of their home state.

To get certified in another state, a currently certified DBE firm must submit a copy of their original DBE application documentation submitted to and approved by WisDOT.

- DBE application documentation includes a completed application form, annual affidavits, supporting documents, notices or correspondence relating to your DBE status.

### Process for Expansion of DBE Certification

Certified DBE firms can only receive DBE credit for the work and NAICS codes listed in their Approval of DBE Certification letter. If a currently certified DBE firm develops skills and resources in additional work area(s) and wants to expand the work that can be assigned

DBE credit, that firm is encouraged to apply for an expansion of their firm's DBE certification work area or NAICS code.

The disadvantaged owner must complete an Expansion Questionnaire and submit relevant documentation of the new work area for WisDOT's DBE certification staff to review and evaluate.

The firm will receive a certification decision letter stating whether the new work area and NAICS code can be used for DBE credit.

### 5-year DBE Recertification Discontinued

The 5-year DBE Recertification process has been discontinued. WisDOT will no longer **routinely** ask DBE firms to complete a recertification application or submit personal net worth statements. However, WisDOT will request income and personal net worth documentation when warranted.

### DBE Directory

The UCP DBE Directory is no longer maintained on the Civil Rights Compliance System. The Wisconsin Unified Certification Program {UCP} DBE Directory is now an excel spreadsheet posted on WisDOT's Civil Rights DBE webpage.

## WISDOT DBE CONSTRUCTION SUMMARY REPORT

### FEDERAL CONTRACTS DBE OVERALL ANNUAL GOAL 13%

### SEPTEMBER 2014 TO APRIL 2015

Estimated FFY 2015 Federal Funds	\$575,236,608
FFY 2015 DBE Projection (13% of Federal Funds)	\$74,780,759
Federal Contract Amount (Nov 2014 - June 2015)	\$400,030,952
DBE Funds Committed to date	\$43,652,683
DBE Percent Committed to date (DBE / Federal)	10.91%



Oluyemi (Yemi) Falomo,  
DBE Engineer  
Oluyemi.Falomo@dot.wi.gov

## WISDOT DBE SUPPORT SERVICES (DBESS)

WisDOT Office of Business Opportunity and Equity Compliance administers supportive services to assist DBE firms in the development of their business model and ability to compete on State DOT projects.

Michele Carter, WisDOT DBE Program Chief oversees the DBE Program to include supportive services that consist of the loan mobilization, business development, labor development and mentor protégé programs.

WisDOT utilizes business consultants to provide legal, financial, marketing and technical assistance in the development of WisDOT DBE firms. DBESS consultants provide classroom, team and one-on-one assistance depending on the business needs and the volume request for services. The 2015-2016 DBESS Business Consultants are on call to support WisDOT DBE firms in their efforts to compete on WisDOT contracts are as follows:

### Legal Services

- Jaya Sharma, 4N Consultants
- Lafayette Crump, Crump Law Firm

### Technical Services

- Minal Hahm, M Squared Engineering
- Kim Lobdell, KL Engineering
- Randy Crump, Prism Technical

### Outreach and Marketing Services

- Benji Hayek, R. A. Smith National
- Matthew Kunstman, OTIE

### Financial Assistance Services

- Gary Mejchar, AICCW
- Leni Siker, Siker Consulting

All DBE firms requesting assistance must complete an Early Intervention Planning (EIP) Assessment to evaluate their company's strength, weakness, opportunities, and challenges. This analysis of the DBE leads to greater business awareness and is the cornerstone of any successful strategic business plan.

By taking the time to put together a formalized analysis, the DBE firms can come up with ways to better capitalize on their company's strengths and improve or eliminate weaknesses.



**ROSALIND ROBerson,  
WISDOT CIVIL RIGHTS URBAN  
OUTREACH STRATEGIST**

While the business owners should certainly be involved in completing this analysis, it could be much more helpful to include other team members in the process. A business consultant will be assigned to assist the DBEs in completing the EIP form.

To begin the process contact Rosalind Roberson, WisDOT's Civil rights Urban Outreach Strategist at (414) 438-2154.

## WISDOT LABOR DEVELOPMENT PROGRAM



**JENNA KOLESKE,  
LABOR DEVELOPMENT SPECIALIST**

The Wisconsin Department of Transportation welcomes Jenna Koleske as the newest addition to the Office of Business Opportunity and Equity Compliance. She works from the DBE Support Services Office in Milwaukee, Wisconsin as the TrANS program coordinator and labor compliance

specialist. Jenna Koleske was born-and-raised in Wisconsin and currently lives in Southeastern Wisconsin. She is a magna cum laude graduate of Cardinal Stritch University with a Bachelor's Degree in Business Management. Jenna comes to DOT with experience from the private and public sectors and understands the importance of a demand-driven model for public programs.

Jenna began her career working for a privately owned Milwaukee market research firm before her position with the Department of Workforce Development (DWD), Division of Vocational Rehabilitation (DVR) where she served as a business services consultant for the Waukesha area. Her focus in this position was educating business leaders in various industries on the benefits of a diverse and inclusive

workforce and in-demand occupations. Jenna has assisted businesses with utilization goals in Section 503 of the Rehabilitation Act affecting federal contractors, partnered with community-based organizations to develop training programs tailored for specific business needs, she has also served on the Diversity and Inclusion Special Interest Group of Metro Milwaukee Society of Human Resource Management, and worked with businesses to utilize state wage subsidization programs. Jenna has a strong dedication to working with private sector and community based organizations to create an inclusive atmosphere that improves Wisconsin businesses and community members alike. She is excited to join the OBOEC team to assist with the important work of supporting businesses in their efforts to diversify their talent and meet their



## DBE PROFILE – HERBST OIL, INC.



TERESA R. UMHOEFER, CEO



Herbst Oil, Inc is a supplier of refined petroleum products, lubricants and supplies. Theresa Umhoefer purchased Herbst Oil in August of 2001 from the Herbst family. The company was founded in the 1940's and Theresa certified the company as a women-owned DBE firm in 2009.

"We keep your fleet running with outstanding service and timely delivery of gasoline and diesel fuel," states Theresa Umhoefer. Herbst Oil delivers diesel fuel and gasoline in a transport truck or tank wagon fast and efficiently, to your storage facility or directly to your jobsite at your request.

Herbst Oil services commercial fleets of all kinds, including bus fleets, waste management, parks departments, railroads, construction companies, farms, and more!

# HERBST OIL, INC.

**262-242-3660**

**THIENSVILLE, WI**

### CORPORATE HEADQUARTERS

230 S Orchard Street, Thiensville WI 53092

**Contact: Theresa R Umhoefer , CEO**

262-242-3660 | [herbstoil@herbstoil.com](mailto:herbstoil@herbstoil.com)

[www.herbstoil.com](http://www.herbstoil.com) | NAICS Code: 454310 Fuel Dealers



**CHECK OUT OUR  
DBE PROFILE HIGHLIGHT VIDEO  
WITH HERBST OIL**



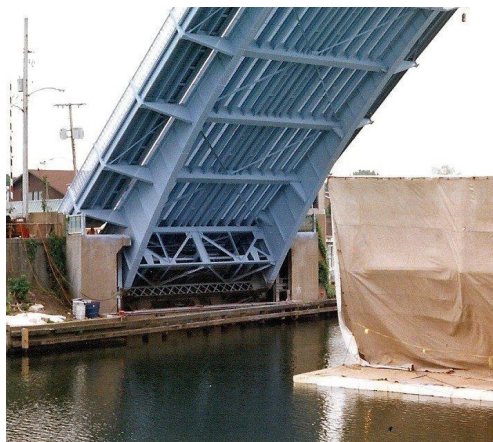
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fill out a [DBE Profile Request](#) or call 414.438.2154



# DBE PROFILE – CENTRAL PAINTING, INC.



FOTIS VARDOROS, CO-OWNER



Central Painting, Inc. is an industrial and commercial painting company located in St. John, Indiana. The company is DBE certified to do business in Indiana, Iowa, and Wisconsin and prequalified in the States of Illinois and Michigan. The company specializes in painting, sandblasting, lead paint removal, water blasting and power wash. Central Painting is QP 1 and QP 2 certified by Steel Structures Painting Council (SSPC). SSPC is the only non-profit association that is focused on the protection and preservation of concrete, steel and other industrial and marine structures and surfaces using high-performance protective, marine and industrial coatings.

Fotis and Domina Vardoros, owners of Central Painting Inc., have over 35 years of industrial painting experience

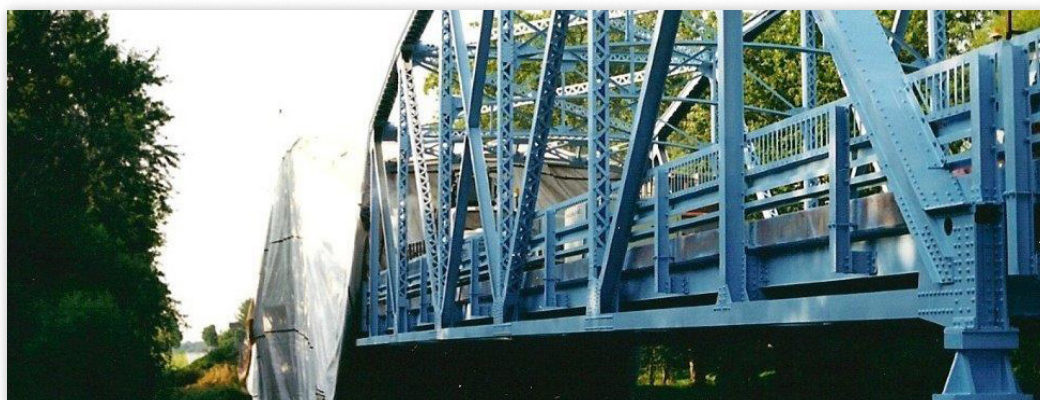
that includes painting steel bridges, water tanks, schools, and government buildings. They have been in business for 18 years and since that time, they had one goal in mind: A Commitment to Excellence and Safety for a Superior Performance.

"We believe that a project must be completed on time and without injury to employees and the environment," states Domina Vardoros, President. Vice President Fotis Vardoros, winner of the 2015 Golden Shovel Award, states, "We strive to meet our client's specifications and expectations."

Bonded and insured, Central Painting is signatory to the International Union of Painters and Allied Trades, a member of AFL-CIO and Painters Local 460 in Merrillville, Indiana.

## COPORATE HEADQUARTERS

8433 Minnesota St | Merrillville, IN 46410 | (219) 942-3623



To have your company featured in the DBE Reporter newsletter fill out a [DBE Profile Request](#) or call 414.438.2154

# DBE PROFILE – SPANN & ASSOCIATES, LLC



Name	Spann and Associates, LLC
Company Information	Established: 2006 Business Role: Prime Consultant and Subconsultant Description: Civil Engineering Design and Construction Engineering Services Firm
NAICS Codes	541330 Engineering Services 541370 Surveying and Mapping (except Geophysical) Services 541611 Administrative Management and General Management Consulting Services
Professional Services	<ul style="list-style-type: none"> <li>• Bruce has more than 35 years as a professional engineer.</li> <li>• Provides design and construction engineering and inspection services</li> <li>• Certified DBE in the States of Wisconsin, Virginia and North Carolina</li> <li>• Six licensed professional engineers on staff</li> <li>• Awarded design and engineering inspection contracts in aviation, highway, and transit modes of transportation</li> <li>• Provides project management services such as urban and strategic planning, right-of-way plat, sight design, environmental studies, and storm water management</li> </ul>
Professional Clients	<ul style="list-style-type: none"> <li>• AECOM</li> <li>• CG Schmidt</li> <li>• CH2M HILL, Inc.</li> <li>• DAAR Engineering</li> <li>• JF Ahern, Inc.</li> <li>• Gilbane Building Company HNTB</li> <li>• Kapur &amp; Associates, Inc.</li> <li>• RA Smith National, Inc.</li> <li>• URS</li> <li>• Wisconsin Construction Partners</li> </ul>
Recent Consulting Projects	<i>Design Engineering</i> Zoo Interchange US10/WIS441 Interchange Reconstruction City of Milwaukee Streetcar Lineville Interchange for the USH41/STH 29 Interchange <i>Construction Management</i> Northwestern Mutual Tower and Commons <i>Construction Engineering Inspection</i> Zoo Interchange Reconstruction USH41 Brown County South Reconstruction
Corporate Headquarters	W175 N11086 Stonewood Drive Suite B - Germantown, WI 53022-6509
Contact Information	Bruce Spann, President (262) 255-8190 bspann@spannllc.com <a href="http://www.spannllc.com">www.spannllc.com</a>

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# MEGAPROJECTS DBE CONTRACTING UPDATE



## [Zoo Interchange Project](#)

Contract Execution to Completion: 2014 to 2017  
(Milwaukee County)

MARCH 2015 REPORT	CONSTRUCTION CONTRACTS	DESIGN ENGINEERING	CONSTRUCTION ENGINEERING
Total Contracted	\$454,660,457.07	\$101,256,722.65	\$34,804,823.17
DBE Contracted	\$56,730,457.90	\$16,408,125.29	\$5,281,030.06
DBE Share	12.48%	16.2%	N/A
Total Paid Amounts	\$305,566,666.77	\$95,272,034.72	\$18,493,786.86
DBE Paid Amounts	\$40,473,612.37	\$13,294,684.90	\$2,715,634.52
DBE Share Paid	13.25%	14.0%	N/A



## [I-41 Corridor Expansion Project](#)

Contract Execution to Completion: 2009 to 2017  
(Brown County and Winnebago County)

MARCH 2015 REPORT	CONSTRUCTION CONTRACTS	DESIGN ENGINEERING	CONSTRUCTION ENGINEERING
Total Contracted	\$921,487,052	\$86,373,117	\$93,386,463
DBE Contracted	\$73,545,660	\$18,417,477	\$40,570,274
DBE Share	8.0%	21.3%	43.4%
Total Paid Amounts	\$808,574,835	\$83,666,056	\$70,672,412
DBE Paid Amounts	\$68,760,170	\$17,509,402	\$31,381,288
DBE Share Paid	8.5%	20.9%	44.4%



## [I-94 North-South Freeway Reconstruction Project](#)

Contract Execution to Completion: 2008 to 2021  
(Milwaukee County)

MARCH 2015 REPORT	CONSTRUCTION CONTRACTS	DESIGN ENGINEERING	CONSTRUCTION ENGINEERING
Total Contracted Amount	\$688,524,367	\$103,095,812	\$62,448,734
DBE Contracted Amount	\$126,703,740	\$29,655,106	\$15,476,322
DBE Share	18.4%	28.76%	24.78%
Total Paid Amounts	\$674,080,245	\$100,556,945	\$54,539,652
DBE Paid Amounts	\$125,519,504	\$28,698,378	\$14,046,692
DBE Share Paid	18.6%	28.54%	25.76%

\* Construction contracts total contracted amount went down due to negative change orders between January and March.



# MEGAPROJECTS DBE CONTRACTING UPDATE



## I-39/90 Expansion Project

Contract Execution to Completion: 2015 to 2021  
(Dane County and Rock County)

MARCH 2015 REPORT	CONSTRUCTION CONTRACTS	DESIGN ENGINEERING
Total Contracted Amount	\$36,276,620	\$63,950,150
DBE Contracted Amount	\$ 1,811,791	\$12,569,690
DBE Share	4.99%	20%
Total Paid Amounts	\$27,231,055	\$42,576,144
DBE Paid Amounts	\$628,985	\$8,734,155
DBE Share Paid	2.31%	21%



US 45 At Watertown Plank Road.  
Taken from <http://projects.511wi.gov/zoo-interchange-project/>



I-39/ 90 Expansion Project. Taken From <http://projects.511wi.gov/i-39-90/>



## Verona Road (US 41/151) Project

Contract Execution to Completion: 2014 to 2021  
(Dane County)

MARCH 2015 REPORT	CONSTRUCTION CONTRACTS	CONSTRUCTION ENGINEERING
Total Contracted Amount	\$81,197,214	\$3,306,287
DBE Contracted Amount	\$4,269,951	\$1,041,086
DBE Share	5.26%	31%
Total Paid Amounts	\$28,524,202	\$2,238,434
DBE Paid Amounts	\$1,844,993	\$709,866
DBE Share Paid	6.47%	32%



# BUSINESS MANAGEMENT RESOURCES



## WISCONSIN'S WOMEN'S BUSINESS INITIATIVE CORPORATION (WWBIC) YOUR DREAM. YOUR FOUNDATION. YOUR GROWTH.

Many entrepreneurs are looking for resources and quality expert advice to help launch and grow their businesses. WWBIC can assist you through their wide variety of over 400 workshops offered each year. For convenience, most are offered on evenings and weekends, online and in dozens of locations throughout the state.

Classes are facilitated by WWBIC dedicated staff and volunteers, who are experts in their field. WWBIC classes range from one-hour entrepreneurial exploration workshops or technology for your small business, to most comprehensive multi-session business planning series, Start Smart.

Browse WWBIC's class calendar to find and register for classes. Printable schedules are also available for each of their markets: **Kenosha, Madison, Milwaukee, Racine, Online, Español.** [Visit](#) WWBIC's Success Stories page to see how their classes have helped entrepreneurs like you!

[TAKE A CLASS](#)

[APPLY FOR A LOAN](#)



## MILWAUKEE METROPOLITAN SEWERAGE DISTRICT WORKFORCE AND BUSINESS DEVELOPMENT

The Business Capacity Development Program (BCDP) allows businesses to receive training and support through training sessions and/or one-on-one coaching. On the following pages you will find the 2015 BCDP Training schedule. We invite you to look through the current offerings and sign up for modules that will enhance a current skill or provide the foundation to learn new skills that will benefit the growth of your business. Business owners are encouraged to attend, as well as, key employees within a company whose responsibilities align with the specific module or training session.

We encourage you to register online or call us if you would like to sign up for training sessions. We would also be happy to provide customized training if that is a better fit for your company.

**CLICK BELOW FOR MORE INFORMATION REGARDING OUR MODULES**

[Risk Management](#)

[Construction Management](#)

[Consultant Management](#)

[Project Management](#)

[Financial Management](#)

[Marketing & Networking](#)

For more information on each of the programs, please visit their [website](#).



## OBOEC MISSION STATEMENT

The Office of Business Opportunity and Equity Compliance (OBOEC) is dedicated to the assurance of civil rights and labor compliance, affirmative action, equal employment opportunity, and services for disadvantaged business enterprises for the Wisconsin Department of Transportation (WisDOT). It is the policy of WisDOT to support the fullest possible participation of firms owned and controlled by disadvantaged individuals in federal aid and state highway contracts within the state of Wisconsin. This includes assisting disadvantaged business enterprises throughout the life of contracts in which they participate.



## 9<sup>TH</sup> ANNUAL VOLK FIELD SMALL BUSINESS CONFERENCE

CONTRACTING IN TIMES OF  
EMERGENCY, DISASTERS AND CRISIS  
JULY 29-30, 2015 – CAMP DOUGLAS, WI

OCTOBER 29th and 30th



# MARKETPLACE 2015

Governor's Conference on Minority Business Development  
Also welcoming women-owned and disabled veteran-owned businesses

### MISSION

The mission is to promote and support minority-, disabled veteran- and women-owned businesses in Wisconsin. The event, an annual conference funded by public and private sector resources, will provide these business owners the environment and opportunity to network; build capacity; showcase products and services; meet public and corporate buyers; compete for business excellence awards; and learn from business development specialists—all at an affordable cost.



## NEW HORIZONS

WISCONSIN TRIBAL TRANSPORTATION CONFERENCE  
ENHANCING PARTNERSHIPS AND CREATING NEW OPPORTUNITIES



# SAVE<sup>THE</sup> DATE

November 3 & 4, 2015

Radisson Hotel and Conference Center, Green Bay, WI

for more information go to: [wisdottribaltaskforce.org](http://wisdottribaltaskforce.org)

WISDOT DBE REPORTER IS A PUBLICATION OF THE WISCONSIN DEPARTMENT OF TRANSPORTATION,  
OFFICE OF BUSINESS OPPORTUNITY AND EQUITY COMPLIANCE

[www.dot.wisconsin.gov/business/civilrights](http://www.dot.wisconsin.gov/business/civilrights)

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