

**2023
APPLICATION
GUIDELINES**

**SPECIALIZED TRANSPORTATION
ASSISTANCE PROGRAM FOR
COUNTIES (WIS. STAT. 85.21)**

Application is due by December 16, 2022 at 12:00 PM
Extensions will be granted upon request



Administered by:

[Specialized Transit](#)

Bureau of Transit, Local Roads, Railroads and Harbors (BTLRRH)

Division of Transportation Investment Management (DTIM)

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INTRODUCTION

The County Elderly and Disabled Transportation Assistance, authorized by Wisconsin Statute 85.21, provides state financial aid to all Wisconsin counties. This grant program operates on an annual, calendar-year basis. It is administered by the Transit Section of the Wisconsin Department of Transportation (“Department”).

For 2023, 85.21 aid totals \$15,977,800. This amount will be allocated among all counties according to the protocol described in Trans 1.02, Wis. Admin. Code. See Appendix A for 2023 county-by-county allocations.

If you have any questions regarding this program, the 2023 application and/or any reporting requirements, please contact either 85.21 program managers:

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PROGRAM DETAILS AND REQUIREMENTS

ELIGIBLE APPLICANTS

Wisconsin counties are the only eligible applicants for funds available under 85.21. Private for-profit or private non-profit organizations may provide service for counties through contractual agreements with the county.

Note: Aging units organized as a non-profit under [Wis. Stat. 46.82\(1\)\(a\)3](#) are considered non-profits and therefore are not eligible to apply; however, they are eligible to provide service on behalf of the counties through contractual agreements.

PROGRAM FUNDING

Per Section 85.21, Wis. Stats., and Trans 1.02, Wis. Admin. Code, the most recent relevant census and statistical data and projections from the U.S. Census Bureau are used to determine county allocations. Calculations leading to the allocation table (**Appendix A**) are based on two types of U.S. Census Bureau data: 1) senior (age 65 and older) population estimates, and 2) population estimates for individuals with disabilities aged 64 or younger. Generally, each county is allocated a share of the annual state 85.21 appropriation proportionate to its share of the total statewide population of seniors and individuals with disabilities. However, these amounts are adjusted to ensure that each county receives not less than 0.5 percent of the total annual program appropriation.

Each county must provide, at a minimum, a local cash match equal to 20 percent of its state aid allocation. The county cash match cannot be comprised of “in-kind” services, passenger copayments, or state and federal categorical aids (i.e., aids provided for a defined purpose such as education). A county may contribute more than the required minimum local match. Local match used for another program may not be claimed as match for the 85.21 program.

PASSENGER ELIGIBILITY AND OTHER SERVICE LIMITATIONS

Specialized transportation services using 85.21 aid must serve seniors and individuals with disabilities. State statute permits counties to transport the general public via 85.21-funded service on a “space available” basis. If a county chooses to transport persons who are neither a senior nor disabled, it must ensure that seniors and individuals with disabilities are not displaced or denied service as a result. Space may be made available to the public on a specialized service vehicle when, for instance:

- the vehicle is not scheduled for senior or disabled transportation use;
- the vehicle has remaining seating capacity after a minimum advance reservation time has passed;
- for fixed schedule service, space is available at the time a public rider wishes to board;
- the public rider is a family member accompanying a senior or disabled rider.

For administrative purposes, a senior is defined as any individual age 65 or older. However, for the purpose of operating its specialized transportation program, a county may set the senior age threshold as low as 55.

A person with disabilities is an individual who, because of any temporary or permanent physical or mental condition or institutional residence, is unable, without special facilities or special planning or design, to use available transportation facilities and services as effectively as persons who are not so affected.

Counties may not limit services to persons with disabilities based on any age requirements, though they may require a responsible adult to accompany a disabled child during transport. The Department will not approve applications from counties that deny transportation service to disabled individuals. A group of projects, some or each of which serve different groups, may receive 85.21 aid if the projects collectively provide service for all seniors and individuals with disabilities residing in the county.

Counties must ensure all services funded with 85.21 aids are accessible or that service provided to persons with disabilities is equivalent to that provided to non-disabled individuals. Specialized transportation projects should be accessible to persons who cannot walk or board a vehicle, or who do so with difficulty. Counties that do not offer equivalent accessible service may have their county allocation payment held until they demonstrate such service is available.

ELIGIBLE PROJECTS

Counties may use 85.21 allocated aid to:

- directly provide transportation service, including operation and dispatching of vehicles, maintenance, and administration of service;
- assist in funding or purchasing transportation service from any public or private provider;
- coordinate transportation services;
- perform or purchase in-service transportation training;
- purchase equipment such as human services vehicles, wheelchair lifts and ramps, and two-way radio communications systems;
- directly subsidize passengers for use of transportation services including reduced fare programs. Programs of this sort permit seniors and individuals with disabilities to use existing transportation services such as public transit, taxis, or SMV carriers at a reduced fare. Typically, the passenger pays part of the fare while the sponsoring county pays the other part of the fare through a ticket or coupon the passenger gives to the driver;
- reimburse seniors and individuals with disabilities for use of their personal means of transportation, when prior approval has been given by the county based on qualification standards established by the county;
- undertake planning or management studies of coordinated, county-wide, or multi-county specialized transportation services. These studies must be designed to help counties plan for or adopt new or revised transportation systems or services which promise to provide improved specialized transportation service throughout one or more counties. Such studies could include service inventories, needs estimates, service evaluations, and the design and implementation of new or different service types (including the organizational and administrative aspects of service or centralized dispatch).

SERVICES PRIORITIES

State law permits – but does not require – counties to prioritize travel for medical, nutrition, and work-related activities. These activities are defined as follows:

“Medical activities” means the procurement of medical or medically-prescribed services or products. It also means participation in medical or medically-prescribed

activities. Training, maintenance and supervision, and education programs do not fall within this definition.

“Nutritional activities” means the consumption, purchase or receipt of food.

“Work-related activities” means performing work, either voluntarily or for compensation, to produce goods or services. Trips for training or education do not fit this definition. A trip’s purpose is established by the primary reason a person makes a trip.

TRANSPORTATION SERVICE COORDINATION

Under the federal Moving Ahead for Progress in the 21st Century Act (MAP-21) law, federal grant programs supporting transportation of seniors and individuals with disabilities, require projects be derived from a “locally-developed, coordinated public transit-human services transportation plan” as a condition of funding.

The Department believes projects funded with 85.21 aids should be held to the same standard, and consequently requires counties to demonstrate how project(s) meet a goal/strategy outlined in the most recent coordinated transportation plan. Additionally, the Department requires that:

- 85.21 program administrators participate in the ongoing development and review of these local coordination plans; and
- Transportation coordination be addressed in public hearings held as part of the 85.21 grant application development process.

If a proposed 85.21-funded project does not meet a strategy in the coordination plan, the plan should be amended to include it, or the project should be replaced with one that is consistent with the plan.

PASSENGER REVENUE POLICY

Counties must either require specialized transportation service users to make a copayment or provide users with an opportunity to make a voluntary contribution. Counties must establish the copayment amount, if required, or recommend a voluntary contribution amount. Counties may waive copayments in cases of an emergency when the user is indigent or when the user is not competent to make a payment.

When copayments are required, counties have discretion over how they are collected. Regardless of the collection method, counties must account for and report all copayment revenues received. Examples of acceptable copayment collection policies include:

- Volunteer drivers collect and keep copayments and then the county reimburses the drivers for their travel expenses less the copayments collected.
- Volunteer drivers collect copayments, turn them over to the organization sponsoring the service, and are then reimbursed for the full amount of their travel expenses.

Non-cash forms of exchange may be used for copayments, provided they either represent an obligation by someone to pay the required copayment. Examples of non-cash forms of exchange are tickets, coupons, travel vouchers, tokens, punch cards, passes, and ID cards coupled with a provider-maintained billing account.

Counties may wish to permit non-cash forms of exchange for passenger convenience. Some passengers may prefer to buy a multi-ride book of tickets or a pass, for example, instead of paying cash whenever a copayment is required.

Non-cash forms of exchange also are appropriate when a county has another source of financial aid for passenger fare assistance, which would cover part, or all of the copayment charged to a passenger. Typically, in such cases, a passenger would present a ticket or coupon to the driver as evidence that their ride is being subsidized. The passenger would then pay a reduced copayment, or nothing, and the transportation provider would later redeem the tickets or coupons for the unpaid copayments amount.

Two requirements apply for counties using 85.21 aid in a passenger fare assistance program:

1. A county may not directly subsidize a provider of service with 85.21 aid while it subsidizes the users of that same service with 85.21 aid.
2. When 85.21 aid is administered as part of a passenger fare assistance program, the aid may not pay the entire fee charged to a user (unless the fee has been waived).

FINANCIAL MANAGEMENT GUIDELINES

PERIOD FOR USING FUNDS

Section 85.21 aid allocated for 2023 (and associated county match) may be spent or obligated only for allowable net operating or equipment expenses incurred between January 1, 2023 and December 31, 2023.¹

State 85.21 aid which has not been expended for 2023 expenses must be returned to the Department unless the aid is to be held by the county in trust. If a county is unable to spend its 85.21 within the calendar year, they should contact the 85.21 Program Manager for guidance and to develop a plan to spend the funds.

FINANCIAL MANAGEMENT STANDARDS AND AUDITS

County financial management standards and record-keeping practices must be adequate to:

- identify the amounts and dates of all project expenses and must be supported with valid receipts, invoices or other records;
- prevent the charging of ineligible expenses to the 85.21 grant;
- identify the county matching share for audit purposes;
- identify passenger revenue earned from 85.21 services;
- allocate project expenses to the appropriate sponsoring program (if more than one program financially sponsors or subsidizes a project) and prevent double-charging of expenses to different programs; and
- prevent the “carry-over” of a balance of 85.21 which has not been expended during the year or retained in trust as allowed by 85.21(3)(c), Wis. Stats.

Counties are subject to program and project audits by the Department at any time. The Department may withhold future 85.21 aid until the county demonstrates that it has satisfactorily resolved any audit deficiencies found.

TREATMENT OF PASSENGER REVENUE

Passenger revenue earned during 2023 should be deducted from expenses incurred during 2023 to determine net expenses eligible for reimbursement from state aid and local match. Passenger revenue includes both voluntary contributions and required copayments or fares. Counties must account for all passenger revenues, including

¹ Generally, operating expenses are incurred when a service is performed and equipment expenses are incurred when purchase orders are executed. Revenue from a given service is earned when the service is provided.

revenues retained by subcontractors, in their project budgets.

ALLOWABLE & UNALLOWABLE COSTS

See **Appendix B** for a complete listing of allowed and disallowed costs.

THIRD PARTY CONTRACTS

Counties must have an executed contract, agreement, purchase order, or legal equivalent to use 85.21 aids to purchase service from or make a grant to a third party. Additionally, transportation service contracts with a total annual expense of \$10,000 or more must be obtained through a competitive public procurement process and such contracts must be competitively rebid at least once every five years.

The following situations are exempt from the third-party contract requirement:

- Expense reimbursement arrangements for volunteer drivers.
- Grants or service purchases costing \$100 or less per occurrence (known costs for service purchases cannot be broken down into quarterly or monthly installments of \$100 or less to avoid the requirement for third party agreements).

Counties choosing to provide funding to, or purchase service from, third parties retain the responsibility for complying with all 85.21 program requirements. Consequently, the Department recommends that all contracts extend the same requirements to the third party and include clauses addressing the following:

- Length or term of contract
- Description of services to be performed
- Required use of passenger revenue to offset transportation expenses
- Cost standards
- Return of 85.21 aid spent on unallowable expenses
- Contract maximum
- Method of payment
- Reporting requirements
- Recordkeeping requirements
- Right of WisDOT or county personnel to conduct audit

No contract between a county and a third party will release the county from its contractual obligations to the Department under this program.

REPORTING

Counties must keep accurate records for the transportation services that receive 85.21 aid. The Department requires counties to complete **quarterly ridership reports** and **annual financial reports** that provide a more detailed cost accounting.

*Please note, if contracted services are used to provide services under this section, the provider must be able to provide the information as prescribed by WisDOT. Any contractor must submit the reports to the counties for review before the county submits the report to WisDOT.

Quarterly Reporting by Project

- January 1 – March 31; due April 30
- April 1 – June 30; due July 31
- July 1 –September 31; due October 31
- October 1 – December 31; due January 31

Annual Financial Report

- January 1 thru December 31; due March 31

APPLICATION INSTRUCTIONS AND FORMS

ACCESS

Important - Applications for the 2023 Section 85.21 program will be submitted on WisDOT's [BlackCat® Grant Management System](#).

If you do not already have a username and password to access BlackCat®, please email specialized.transit@dot.wi.gov with the information below to receive access:

- Name
- Organization and Position Title
- Email Address
- Phone Number

All application materials must be submitted online through the BlackCat Grant Management System.

APPLICATION COMPONENTS

1. Complete Public Notice requirement
2. Complete Public Hearing requirement
3. Complete Local Board Review requirement
4. Complete and submit application in BlackCat online grant management system
 - a. Update county and contact information
 - b. Complete and upload "Application Workbook"
 - c. Upload Transmittal Letter
 - d. Upload Public Hearing and Public Notice documents
 - e. Upload Local Review documents
 - f. Complete "Spending Plan" section
 - g. Complete "Certifications"
 - h. Submit grant application

Upon review and approval of an application, the Department will issue a grant agreement to be executed between the Department and the county. The grant agreement will be effective from January 1, 2023 through December 31, 2023.

LOCAL REVIEW REQUIREMENTS

LOCAL REVIEW FORM

Counties must offer their local aging unit opportunity to comment on their annual 85.21 application or participate in its preparation. If the aging unit and/or its representatives are members of a county's transportation coordinating committee (TCC) or equivalent, the committee's review of the application satisfies this requirement. If a county's TCC does not include members of its s. 51.42 and s. 51.437 board(s) of directors, then the application must be presented to the 51.42/51.437 board(s) for review.

PUBLIC NOTICE AND PUBLIC HEARING

Counties are required to hold a public hearing prior to application submission. The hearing is to inform interested parties of the county's plan for spending 85.21 funds and to accept their comments. The hearing does not need to be held separately from other hearings; it may, for example, be combined with hearings on a county's aging plan. If such a combined hearing is held, the county must be sure that its public hearing notice identifies the 85.21 application as a subject of the hearing. The public hearing is subject to the following specific requirements:

- The public hearing must be held at least 14 days prior to application submittal and evidence of the meeting must be included as part of the 85.21 application.
- Notice of the hearing must be published at least 10 days prior to the hearing in the official county newspaper or other newspaper likely to be read by people in the county. The hearing notice must summarize the 2023 85.21 projects and budget.
- Copies of a preliminary draft application must be available for public review. The published hearing notice must indicate where copies of the draft are available. The preliminary draft need not be a complete application, but it should address all substantive elements of the specialized transit services funded under 85.21.
- The hearing should be scheduled at a time and in an accessible location that will encourage attendance. The notice must include an offer of transportation to the hearing for seniors and individuals with disabilities.
- The hearing must include a review of the effective coordination plan as it relates to the proposed projects including a discussion of needs and service gaps, and relevant available services including route and hours of operation.

Complete the public hearing and notice form (see Appendix D) to document your 85.21 public hearing; be sure to do the following:

- Attach a copy of the public hearing notice
- Provide a summary of any public comments that were made at the hearing
- Attach the meetings minutes in which the application was approved.

WORKBOOK INSTRUCTIONS

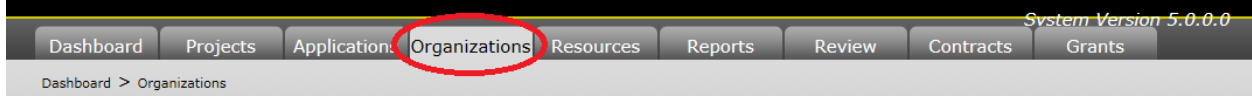
Enter information only in the gray cells; other cells (light blue) are set up to autofill based on values you enter in the gray cells.

The workbook can be accessed via the Application tab in BlackCat.

1. **Applicant Info** - Complete all gray fields.
2. **Checklist** - Use this form to ensure your application is complete.
3. **Vehicle Inventory** – Provide information for each vehicle used. Review Section 5310-funded vehicles in the BlackCat system under your “Organizations” tab.
4. **Third-Party Contracts** – List any third-party contracting in your 85.21 program:
 - Complete the third-party contracting form (Excel spreadsheet); and
 - Attach copies of all executed third-party contracts under “Resources” in BlackCat. If a third-party contract is pending, attach a draft copy and list the parties from whom you will purchase service. Indicate the final year in which the contracted service was subject to a bid, RFP, or RFQ process.
5. **Trust Fund Plan** - If the county plans to spend money from its trust fund in 2023, place a portion of its 2023 85.21 aid in trust, or hold 85.21 aid in trust for expenditures beyond 2023, complete the trust fund plan template (Excel spreadsheet). For other non-vehicle equipment expenditures, use the “Item” column in the template or the “Narrative” space to briefly describe the item and how it will serve the county’s specialized transportation program. If the county has no trust fund, you need not complete this section.
6. **Project Tab(s) – Description of Project(s)/Proposed Budget** - Use this section to describe all projects that will receive 85.21 funds and complete the proposed budget by project. The Excel spreadsheet contains several identical project budget worksheets (“Project Budget #1”, ... “Project Budget #8”). **Complete a separate worksheet for each project/project type** (i.e., a project you consider part of your county’s 85.21 program to provide specialized transit services). Passenger revenues should include all collected revenues, including those retained by contractors.
7. **Summary Tab** - This sheet is entirely auto-filled and requires no user-entered data; adjustments need to be made in the tab for a specific project.

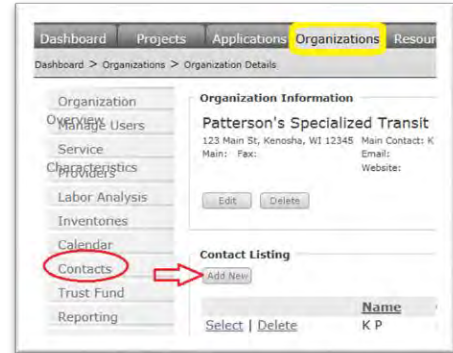
STEP-BY-STEP INSTRUCTIONS FOR APPLICATION SUBMISSION

STEP 1 – ORGANIZATION TAB



Complete the following under the Organizations tab:

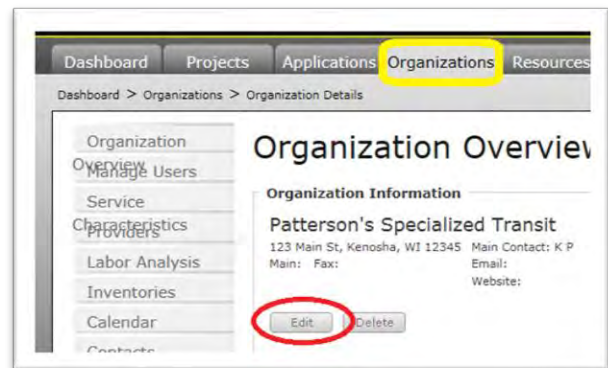
- Contacts** – Select “Contacts” and then “Add New” under the Contact Listing category. **Please enter all possible contacts for your organization and be sure to include their title.**



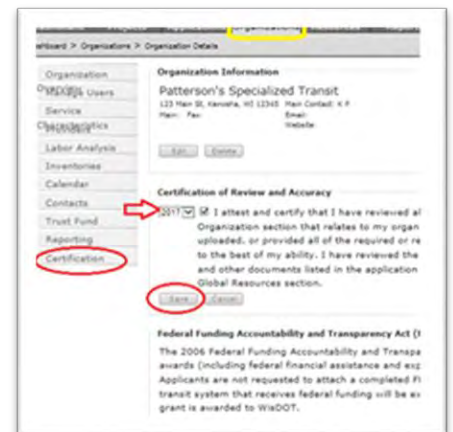
- Agency Details** – On the left-hand menu, select Organization and then the Edit button under the Organization Information.

- Fill in/verify all information under the “Organization details” section. Please note: this information, especially “primary contact” is what WisDOT will use to create contact and distribution lists for program announcements.

- Complete “Service Characteristics”, “Service hours” and “Fares,” if applicable.



- Certification of Review and Accuracy** – Prior to submitting your application, you must complete all applicable certifications for the 2023 calendar year. (Make sure to select appropriate year and click save.)
*Please note, the Federal Funding Accountability and Transparency Act (FFATA) Certification is **not** required for the 85.21 grant.*



STEP 2: APPLICATION TAB

Open the 2023 application

1. Open the Application

- a. Click on the "Application Tab"
- b. Select 2023 from the Year drop-down menu
 - i. *The first time you go into the application you will select the "New" tab to display current grant opportunities.*
 - ii. *Every time after you will find your application on the "Pending" tab.*
- c. Click "Apply" next to the 2023 Specialized transportation assistance program for counties (85.21) Application

2. Upload application forms

- a. Download all forms to your computer and save.
- b. Complete all forms on your computer and save.
- c. Upload completed forms to BlackCat.

Application Forms
Before you can submit this opportunity you would need to download and re-upload all necessary documents.

Form	
Download - Guidelines	
<input checked="" type="checkbox"/> Download - Application Workbook	Re-Upload
<input checked="" type="checkbox"/> Download - Transmittal Letter	Attach Upload
<input checked="" type="checkbox"/> Download - Public Hearing and Notice	Attach Upload
<input checked="" type="checkbox"/> Download - Local Review Form	Attach Upload

Click to download the instructions, samples, and/or templates for this item.

"Re-upload" lets you know that a document has already been uploaded, click to view the document or upload a different document.
note: only 1 document can be stored, uploading another document REPLACES one already uploaded.

"Attach Upload" to upload your completed document.

- d. Verify upload is completed by confirming all checkmarks are green.

Application Forms
Before you can submit this oppo

Form	
Download - Guidelines	
<input checked="" type="checkbox"/> Download - Applicator	
<input checked="" type="checkbox"/> Download - Transmitt	
<input checked="" type="checkbox"/> Download - Public Hea	
<input checked="" type="checkbox"/> Download - Local Revi	

3. Spending Plan - Enter each project into the spending plan – this should match with each project entered in the application workbook.

- a. Select Project Type from list

Type Name	Amount	Note
<u>Insert</u> Select One		
Total Available	\$100.00	
Total Plan	\$0.00	
Remaining	\$90.00	

- b. Enter dollar amount of **allocation and/or trust fund** that will be used toward this project.

*HINT: do not enter decimals, enter whole dollars (i.e. 50 for \$50.00)

Type Name	Amount	Note
<u>Edit</u> Local Match for 5310	\$25.00	
<u>Delete</u>		
<u>Update</u> Vehicle Purchase	50	
<u>Cancel</u>		
<u>Insert</u> Select One		
Total Available	\$100.00	

- c. Enter notes such as name of project and/or contractor. (Not required)
 d. Select "Insert" when complete.

When finished, click her to insert this plan.

Type Name	Amount	Note
<u>Insert</u> Select One		
Total Available	\$100.00	
Total Plan	\$0.00	
Remaining	\$90.00	

4. Click "Submit" in upper right-hand corner.

APPENDIX A - 2023 ALLOCATION OF 85.21 AID

Wisconsin 85.21 County Elderly and Disabled Transportation Assistance Program 2023 Allocation

Total Appropriation: \$15,977,800
Minimum County Allocation: \$79,889

County	2023 Allocation	Local Match	Share of 85.21 Allocations	Share of WI Disabled & Elderly
Adams	\$92,085.00	\$18,417.00	0.58%	0.65%
Ashland	\$79,889.00	\$15,977.80	0.50%	0.33%
Barron	\$146,162.00	\$29,232.40	0.91%	1.04%
Bayfield	\$79,889.00	\$15,977.80	0.50%	0.41%
Brown	\$624,312.00	\$124,862.40	3.91%	4.44%
Buffalo	\$79,889.00	\$15,977.80	0.50%	0.27%
Burnett	\$79,889.00	\$15,977.80	0.50%	0.42%
Calumet	\$109,433.00	\$21,886.60	0.68%	0.78%
Chippewa	\$166,537.00	\$33,307.40	1.04%	1.18%
Clark	\$87,768.00	\$17,553.60	0.55%	0.62%
Columbia	\$154,122.00	\$30,824.40	0.96%	1.10%
Crawford	\$79,889.00	\$15,977.80	0.50%	0.37%
Dane	\$1,144,148.00	\$228,829.60	7.16%	8.14%
Dodge	\$228,094.00	\$45,618.80	1.43%	1.62%
Door	\$111,877.00	\$22,375.40	0.70%	0.80%
Douglas	\$131,612.00	\$26,322.40	0.82%	0.94%
Dunn	\$114,424.00	\$22,884.80	0.72%	0.81%
Eau Claire	\$279,544.00	\$55,908.80	1.75%	1.99%
Florence	\$79,889.00	\$15,977.80	0.50%	0.11%
Fond du Lac	\$282,845.00	\$56,569.00	1.77%	2.01%
Forest	\$79,889.00	\$15,977.80	0.50%	0.20%
Grant	\$129,442.00	\$25,888.40	0.81%	0.92%
Green	\$106,886.00	\$21,377.20	0.67%	0.76%
Green Lake	\$79,889.00	\$15,977.80	0.50%	0.41%
Iowa	\$79,889.00	\$15,977.80	0.50%	0.43%
Iron	\$79,889.00	\$15,977.80	0.50%	0.17%
Jackson	\$79,889.00	\$15,977.80	0.50%	0.39%
Jefferson	\$226,153.00	\$45,230.60	1.42%	1.61%
Juneau	\$88,145.00	\$17,629.00	0.55%	0.63%
Kenosha	\$416,524.00	\$83,304.80	2.61%	2.96%
Kewaunee	\$79,889.00	\$15,977.80	0.50%	0.41%
La Crosse	\$290,474.00	\$58,094.80	1.82%	2.07%
Lafayette	\$79,889.00	\$15,977.80	0.50%	0.31%

County	2023 Allocation	Local Match	Share of 85.21 Allocations	Share of WI Disabled & Elderly
Langlade	\$79,889.00	\$15,977.80	0.50%	0.46%
Lincoln	\$89,036.00	\$17,807.20	0.56%	0.63%
Manitowoc	\$238,989.00	\$47,797.80	1.50%	1.70%
Marathon	\$364,629.00	\$72,925.80	2.28%	2.59%
Marinette	\$147,624.00	\$29,524.80	0.92%	1.05%
Marquette	\$79,889.00	\$15,977.80	0.50%	0.39%
Menominee	\$79,889.00	\$15,977.80	0.50%	0.08%
Milwaukee	\$2,255,862.00	\$451,172.40	14.12%	16.04%
Monroe	\$126,484.00	\$25,296.80	0.79%	0.90%
Oconto	\$122,213.00	\$24,442.60	0.76%	0.87%
Oneida	\$129,728.00	\$25,945.60	0.81%	0.92%
Outagamie	\$437,743.00	\$87,548.60	2.74%	3.11%
Ozaukee	\$244,426.00	\$48,885.20	1.53%	1.74%
Pepin	\$79,889.00	\$15,977.80	0.50%	0.15%
Pierce	\$97,727.00	\$19,545.40	0.61%	0.70%
Polk	\$136,946.00	\$27,389.20	0.86%	0.97%
Portage	\$184,524.00	\$36,904.80	1.15%	1.31%
Price	\$79,889.00	\$15,977.80	0.50%	0.32%
Racine	\$532,159.00	\$106,431.80	3.33%	3.78%
Richland	\$79,889.00	\$15,977.80	0.50%	0.39%
Rock	\$449,964.00	\$89,992.80	2.82%	3.20%
Rusk	\$79,889.00	\$15,977.80	0.50%	0.34%
Saint Croix	\$189,835.00	\$37,967.00	1.19%	1.35%
Sauk	\$174,748.00	\$34,949.60	1.09%	1.24%
Sawyer	\$79,889.00	\$15,977.80	0.50%	0.40%
Shawano	\$128,837.00	\$25,767.40	0.81%	0.92%
Sheboygan	\$298,640.00	\$59,728.00	1.87%	2.12%
Taylor	\$79,889.00	\$15,977.80	0.50%	0.38%
Trempealeau	\$79,889.00	\$15,977.80	0.50%	0.54%
Vernon	\$86,238.00	\$17,247.60	0.54%	0.61%
Vilas	\$95,066.00	\$19,013.20	0.59%	0.68%
Walworth	\$286,500.00	\$57,300.00	1.79%	2.04%
Washburn	\$79,889.00	\$15,977.80	0.50%	0.40%
Washington	\$349,656.00	\$69,931.20	2.19%	2.49%
Waukesha	\$1,043,703.00	\$208,740.60	6.53%	7.42%
Waupaca	\$152,900.00	\$30,580.00	0.96%	1.09%
Waushara	\$85,952.00	\$17,190.40	0.54%	0.61%
Winnebago	\$448,742.00	\$89,748.40	2.81%	3.19%
Wood	\$231,006.00	\$46,201.20	1.45%	1.64%

APPENDIX B - COST STANDARDS

ALLOWABLE EXPENSES

LABOR: Wages paid to employees in exchange for labor. These are wages typically paid to drivers, passenger aides or escorts (but not volunteers), dispatchers, service coordinators or brokers, mechanics, and administrative, or other technical personnel.

FRINGE BENEFITS: Fringe benefits paid on behalf of an employee to other parties such as an insurance company or a governmental tax authority. These payments are for FICA, pension plans, medical and dental insurance, and other insurance plans. Fringe benefits may also include payments to employees for something other than work such as paid sick leave, paid holidays, and paid vacation.

MATERIALS AND SUPPLIES: The cost of materials or supplies consumed from inventory or purchased for immediate use. Materials and supplies include: tangible products such as fuel and lubricants, tires, equipment maintenance supplies, spare parts, and office supplies. Freight charges and sales tax (unless purchasing agency is tax exempt) can be included.

UTILITIES: Payments to utility companies for gas, water, sewer, electricity, radio repeater service, telephone service, etc. Only the portion of utility expenses related to operating the 85.21 transportation service are allowable costs. Cable/satellite television is considered entertainment (unallowable cost) rather than a necessary utility.

LIABILITY AND CASUALTY COSTS: Payments for insurance programs that protect a project from losses incurred or caused by the project; payments to others for their losses caused by the project.

TAXES: Taxes levied on a project by federal, state, and local governments, but not including income taxes.

PURCHASED TRANSPORTATION SERVICE: Payments made to third parties that provide transportation service. Such organizations would typically be a Section 5310 grantee or other private, non-profit corporation; a public transit system; or, a private contractor such as a school bus operator, taxi service, or lift-equipped van service.

OTHER PURCHASED SERVICES: Payments made to third parties for services that support the provision of specialized transportation service. These services could include: maintenance of vehicles or related equipment; professional and technical services such as training employees and volunteers; advertising or promotion; printing; custodial services; temporary help; accounting and auditing.

LEASES AND RENT: Payments for the use of equipment or facilities owned by other organizations. Items typically leased or rented include: vehicles, two-way radio equipment, office space, or vehicle storage space.

PURCHASE OF EQUIPMENT: Purchase of equipment to provide specialized transportation service; this typically includes: passenger-carrying vehicles; vehicle-mounted wheelchair loading and securement devices; two-way radio equipment; maintenance equipment; or other durable goods or equipment used in providing specialized transportation service. If equipment is shared with non-specialized transportation functions, then only that part of the equipment's cost, which is proportional to its use in specialized transportation, is an allowable expense.

TRAVEL: Eligible travel expenses include: transportation, meals, out-of-town lodging, or related expenses such as parking incurred by employees, volunteers and other individuals as authorized by the county. Travel is allowed for specialized transportation service provided by employees or volunteers to eligible passengers, official project business, and travel by seniors and individuals with disabilities using personal or other available means of transportation when authorized by a county (includes fare assistance programs).

INTEREST: Interest on money borrowed over a short term (one year or less) for operating expenses or over a long term (more than one year) for equipment purchases.

ADVERTISING: Cost of purchasing service advertisements in media such as: newspapers, magazines, newsletters, radio, television, direct mailing, posters, or handouts. Allowable advertising subjects may include the recruitment of paid or volunteer personnel; solicitation of bids for goods and services; sale or disposal of property or services; and announcements of service information such as routes, schedules, or contact information. All advertising expenses must pertain specifically to specialized transportation projects.

VOLUNTEER HONORARIUMS AND RECOGNITION EVENTS: Costs of gifts, mementos, dinners and ceremonies in recognition of volunteered services. However, only one event or group of presentations per project year is an eligible expense.

SUBSCRIPTIONS AND MEETINGS: Book purchases and periodical subscriptions are allowable expenses if they directly pertain to the management, planning, and operation of transportation services. Such items must be procured for agency, not individual, use. Meeting or conference fees are allowable expenses when the primary purpose of the meeting is the dissemination of technical information. Fees for conferences or meetings designed to influence legislation are not allowed.

UNALLOWABLE EXPENSES

DEPRECIATION: Depreciation accrued by public transit system operators, depreciation on facilities or equipment purchased with public (Federal, state or local) capital grants, depreciation on intangible assets, and depreciation more than the rate used for income tax purposes.

ENTERTAINMENT: The costs of amusement, social activities, and related activities.

FINES AND PENALTIES: Costs resulting from violations or failures to comply with laws and regulations.

CHARITABLE CONTRIBUTIONS AND DONATIONS: Not allowed under the 85.21 program.

BAD DEBT: Losses resulting from uncollectible accounts or other claims.

RESERVE FUNDS FOR FUTURE EXPENSES: Other than allowable Trust Fund deposits (see Appendix C), state aid may not be saved from one year to another for contingencies or general expenses.

LOBBYING: Program funds may not be used to cover the cost of any activity designed to influence law making.

ADVISORY COMMITTEES OR COUNCILS: The cost of advisory committees or councils is not allowed except when such groups are deemed necessary for the preparation of a technical study. The life or term of any such group may not exceed the term of the study.

GENERAL PUBLIC ADMINISTRATION: General county or local government operation costs as they incidentally pertain to the activities covered under the 85.21 program are not allowable expenses. Such costs would include those associated meetings of the county board and its subcommittees, and expenses of county officials whose regular duties do not include specialized transportation.

LAND ACQUISITION: Not allowed under the 85.21 program.

ALLOWABLE EXPENSES CONDITIONED ON DEPARTMENT APPROVAL

AID HELD IN TRUST: State aid may be held in trust over multi-year periods for future expenses when specifically allowed by the Department under 85.21, Wis. Stats.; see Appendix C.

APPENDIX C – TRUST FUNDS

In accordance with Trans Rule 1.05(2), “A county may retain and hold in trust all or part of the allocated aid which it receives ... for the purchase or maintenance of transportation equipment.”

Counties with trust arrangements are required to follow specific guidelines governing management and use of trust funds:

1. A county board of supervisors must authorize establishment of this fund by board resolution which must be submitted to WisDOT for approval.
2. Expenditures of aid from a county's trust fund shall be made according to a plan approved by the department. The county's plan should indicate what the trust fund will be used to purchase. A plan may be amended at any time with the department's approval.
3. Aid may be held in trust only for “capital-like” expenditures for items having an expected useful life of at least two years. These purchases must be related to providing transportation service for seniors or individuals with disabilities.
4. All assets must be expended, and a county may not establish a permanent minimum balance.
5. Balance may not exceed \$80,000.
6. Counties shall maintain records showing the dates and amounts of deposits to the trust fund; the dates, amounts and purposes of expenditures from the fund; and interest earned by the fund.

Counties with established 85.21 trust funds must review and update spend plans annually. This is required as part of the “Annual Financial Report” submitted for this grant program. In their 2023 budget for 85.21 program(s), counties will estimate the amount of 2023 aid that will be added to their trust fund account.

GENERAL INFORMATION ON AIDS HELD IN TRUST

ALLOWABLE USES

Trans Rule 1 allows a county to use trust fund balances to purchase or maintain **capital** equipment used in its specialized transit program. All such expenditures must conform to the county's Department-approved trust fund plan which lists specific planned expenditures.

MANAGEMENT OF AIDS HELD IN TRUST

Counties may elect but are not required to hold state aid in trust. If a county wishes to hold aid in trust, it must be authorized to do so by resolution of its Board of Supervisors. No other organization but a county may hold 85.21 aids in trust.

A county may hold in trust either part or all of its allocation from a given year. However, the trust fund balance is subject to certain constraints:

1. It must be consistent with the county's latest trust fund plan.
2. A county's trust fund plan must expend all funds held in trust. The Department suggests planning to spend funds within three years of deposit.
3. The Trust Fund balance may not exceed \$80,000. The Wisconsin Department of Transportation may require return of any balance exceeding this allowance.

Although a county need not physically segregate aids held in trust from other cash assets, it must account for the aids separately from other funds. It may also invest the aids either separately or pooled with other funds, but the interest earned by the aids must be added to the aids held in trust.

When state aid is pooled with other funds for investment purposes, the interest earned by the aid must be added at least annually to the trust fund. The annual interest earned by state aid in a pooled investment is to be computed from the average annual rate of return from all the invested funds and the average monthly balance of aid held in trust during the year.

Neither state law nor administrative rule specifies how long funds may be held in trust; however, the Department suggests counties develop a plan to spend funds held in trust over a three-year span.

Counties must keep records of all aids held in trust, to include the dates and amounts of additions to a trust fund (including interest); dates, amounts and purpose of expenditures from the fund; and the fund balance. These records serve as the basis for trust fund information submitted to the Department in annual financial reports.

Trust arrangements may be terminated by a county or by the Department. Upon termination, the county must refund the balance of unspent funds to the Department. A county may also be required to refund expenditures for items that are not included in a plan approved by the Department.

LOCAL MATCH

Annually, counties must appropriate a local share equal to 20 percent of state aid. The county must spend all local match to deposit unspent aid funds in trust. This means:

- only state funds are to be held in trust; and
- when a county makes an expenditure from its trust account, no local match is required, since the matching share for this aid was spent in the year the aid was placed in trust.

PASSENGER REVENUE

All passenger revenues (donations, fares, copayments, etc.) earned during a year from transportation services that are sponsored with state aid must be used to offset service expenses. Therefore, passenger revenues earned from state-sponsored services cannot be saved, carried over, or held in trust.

ESTABLISHMENT OF COUNTY TRUST ARRANGEMENT

Counties wishing to establish a new trust fund to hold 2022 state aids in trust should submit the following items to the Department no later than March 1, 2023. Counties must have Department-approved trust funds in place before hold 85.21 aid in trust.

Department approval is predicated on review of the following three items:

- Transmittal letter
- County board's authorizing resolution
- Trust fund plan

These items should be sent to the:

Specialized Transit Program Manager
Bureau of Transit, Local Roads, Railroads & Harbors
Wisconsin Department of Transportation
P. O. Box 7913
Madison, WI 53707-7913

After reviewing the submitted materials, the Department will notify the county whether its trust arrangement has been approved. This notification will come in the form of a letter of authorization containing the approval and conditions for holding aid in trust.

A county may take the steps needed to hold 85.21 aids in trust at any time. Once the Department approves the county's submittal, further Department review normally is required only when the county amends its trust fund plan.

APPENDIX D – APPLICATION TEMPLATES

TRANSMITTAL LETTER - SAMPLE

****The Transmittal letter must be on official county letterhead – template in word format is available through download on the BlackCat Online Grant Management System****

[Date]

85.21 Program Manager
Bureau of Transit and Local Roads, Railroads and Harbors
Wisconsin Department of Transportation
P.O. Box 7913
Madison, WI 53707-7913

Dear 85.21 Program Manager:

[Insert Name of County] County hereby submits its application for \$[Insert amount of allocation] in state assistance under section 85.21 of Wisconsin Statutes to provide specialized transportation services for seniors and individuals with disabilities in 2023. The County assures that a minimum of \$[insert amount of local match] in local funds has been included in its adopted 2023 budget and will be available as the share required to match the 85.21 grant.

I certify that the information contained in this application is accurate.

Sincerely,

[Insert Name]
[Insert Title]

PUBLIC NOTICE AND HEARING FORMS - SAMPLE

****The following forms and templates for the public notice and public hearing requirement is available through download on the BlackCat Online Grant Management System****

Must include the following items:

- 1. Date of notice publication*
- 2. Copy of actual notice publication from the paper*
- 3. Date of public hearing*
- 4. Include public comments made (if none, state "none")*
- 5. Copy of the meeting minutes when approval of the application was granted*

Date of notice publication:	
(Insert copy of the published notice here) <i>*You will want to insert a copy of actual notification from the paper.*</i>	

Date of public hearing	
(Please provide a summary of any public comments that were made at the meeting in regard to the application.)	

Be sure to attach **a copy the meeting minutes** during which the approval for the application was granted.

LOCAL REVIEW FORM - SAMPLE

****This is a sample only – the official form is available through download on the BlackCat Online Grant Management System****

Are the committees or commissions on aging, county aging unit and boards (created under ss. 51.42 and 51.437, Stats.) part of the county's Transportation Coordination Committee or equivalent?

Yes

No

If you selected No, you **MUST** include evidence of their review of this application and upload to the Online Grant Management section for "Local Review" with this form.

Such evidence may include:

- Written endorsements
- Recommendations or criticism
- Minutes of meetings attended by the above organizations at which the application was discussed